

## Article

# Cartilage Repair Activity during Joint-Preserving Treatment May Be Accompanied by Osteophyte Formation

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**Abstract:** Knee joint distraction (KJD) treatment has shown cartilage repair and clinical improvement in patients with osteoarthritis, as has high tibial osteotomy (HTO). Following KJD, TGF $\beta$ -1 and IL-6 were increased in synovial fluid (SF), factors related to cartilage regeneration, but also to osteophyte formation. As such, osteophyte formation after both joint-preserving treatments was studied. Radiographic osteophyte size was measured before, one year, and two years after treatment. Changes were compared with natural progression in patients from the CHECK cohort before undergoing total knee arthroplasty. An additional KJD cohort underwent SF aspiration, and one-year Altman osteophyte score changes were compared to SF-marker changes during treatment. After two years, both KJD ( $n = 58$ ) and HTO ( $n = 38$ ) patients showed an increase in osteophyte size (+6.2 mm<sup>2</sup> and +7.0 mm<sup>2</sup> resp.; both  $p < 0.004$ ), with no significant differences between treatments ( $p = 0.592$ ). Untreated CHECK patients ( $n = 44$ ) did not show significant two-year changes (+2.1 mm<sup>2</sup>;  $p = 0.207$ ) and showed significant differences with KJD and HTO (both  $p < 0.044$ ). In SF aspiration patients ( $n = 17$ ), there were significant differences in TGF $\beta$ -1 changes ( $p = 0.044$ ), but not IL-6 ( $p = 0.898$ ), between patients with a decrease, no change, or increase in osteophyte Altman score. Since KJD and HTO showed joint space widening and clinical improvement accompanied by osteophyte formation, increased osteophytosis after joint-preserving treatments may be a bystander effect of cartilage repair activity related to intra-articular factors like TGF $\beta$ -1 and raises questions regarding osteophyte formation as solely characteristic of the joint degenerative process.

**Keywords:** knee joint distraction; osteophyte; osteoarthritis; high tibial osteotomy; TGF $\beta$ -1; joint-preserving

## 1. Introduction

Osteoarthritis (OA) is characterized by articular cartilage loss, intra-articular inflammation, and osteophyte formation [1]. Osteophytes are often formed at the joint margins, first as cartilage outgrowth and subsequently undergoing ossification [2]. While the exact purpose of osteophytes remains unknown, their presence and size in the knee are associated with joint space width (JSW) decrease, and they are an important radiographic feature used to define the severity of knee OA in classifications like the Altman score

and Kellgren–Lawrence grade [3–7]. Osteophytes are frequently present in patients with end-stage knee OA receiving surgical treatment such as total knee arthroplasty (TKA) [8].

TKA is widely used because of its clinical effectiveness, but in younger patients (<65 years) it has a significantly higher risk of failure and revision surgery later in life [9,10]. Therefore, there is a demand for joint-preserving treatments for (severe) knee OA at a younger age. A joint-preserving alternative for patients with unicompartmental knee OA as a result of malalignment is high tibial osteotomy (HTO), which shows good long-term results and clinical improvement and a certain degree of cartilage repair [11–13]. Knee joint distraction (KJD) is a relatively new joint-preserving treatment for patients with unicompartmental or generalized severe knee OA, where the tibia and femur are temporarily separated using an external fixation frame [14]. An open prospective study (OPS) has shown good long-term treatment results, and two randomized controlled trials (RCTs), one comparing KJD with HTO and one with TKA, showed that clinical outcome after KJD is comparable to that after HTO or TKA [15–20]. Furthermore, cartilage repair has been shown on radiographs and on MRI scans, and systemic biomarker analyses suggest beneficial cartilage and bone turnover after KJD treatment [18,21–23].

Cartilage repair activity as a result of treatment could be related to an increase in transforming growth factor- $\beta$ 1 (TGF $\beta$ -1), which is generally appreciated to stimulate cartilage repair [24]. During KJD treatment, an increase in synovial fluid TGF $\beta$ -1 level was observed [25]. While TGF $\beta$ -1 is associated with joint repair, it has also been shown to induce osteophyte formation, predominantly in experimental animal studies, but in ex vivo human studies as well [26–31]. Interleukin-6 (IL-6) was also observed to increase intra-articularly as a result of KJD treatment and could be positively associated with osteophyte presence as well, showing increased mRNA expression and protein production in in vitro studies with human osteophyte tissue [25,30,32].

As such, we studied osteophyte formation during KJD and compared this to HTO and natural OA progression, hypothesizing that joint-preserving regenerative treatments demonstrating cartilage repair activity lead to tissue (re)generation in general, including osteophyte formation.

## 2. Materials and Methods

### 2.1. Knee Joint Distraction Patients

63 Patients were included for KJD treatment in three different trials. Of these 63 patients, 20 patients with an indication for TKA and age < 60 years old were included in the OPS. Secondly, 20 TKA-indicated patients < 65 years old were treated with KJD in an RCT comparing KJD with TKA. The third and last group of 23 patients with medial compartmental knee OA, an indication for HTO, and age < 65 years were treated with KJD in an RCT comparing KJD with HTO. Inclusion and exclusion criteria have been described before and included radiographic signs of tibiofemoral OA (Kellgren–Lawrence grade > 2, judged by orthopedic surgeon), <10° knee malalignment, body mass index (BMI) < 35, and no presence or history of inflammatory or septic arthritis [33,34]. All trials complied with the Declaration of Helsinki, were granted ethical approval by the medical ethical review committee of the University Medical Center Utrecht (protocol numbers 04/086, 10/359/E, and 11/072), and were registered in the Netherlands Trial Register (trial numbers NL419, NL2761 and NL2680). All patients gave written informed consent.

Distraction surgery was performed using an external fixation frame. The knee was distracted 2 mm during surgery and 1 mm every day during a short hospitalization until 5 mm distraction was reached, confirmed on radiographs. Patients were discharged with prophylactic anticoagulant to use during treatment and were allowed full weight-bearing of the treated knee, supported by crutches if necessary. After 6–8 weeks the distraction frame and pins were surgically removed.

## 2.2. Follow-Up

Patients visited the hospital multiple times, including at baseline and one and two years after treatment, during which standardized weight-bearing, semiflexed posterior-anterior radiographs were performed according to the Buckland-Wright protocol, using an aluminum step wedge as a reference standard for image analysis using 'knee images digital analysis' (KIDA) software (described below) [35,36]. Patients completed the Western Ontario and McMaster Universities Osteoarthritis Index (WOMAC, version 3.1) questionnaire as well. Only patients with standardized radiographs at both baseline and 2 years were included.

## 2.3. High Tibial Osteotomy Patients

HTO patients from the KJD vs. HTO trial were used to study generalizability of the concept of osteophyte formation during regenerative treatments demonstrating endogenous cartilage repair activity. The 46 HTO patients were included in the trial to be treated with biplane medial-based opening-wedge osteotomy and had the same follow-up as described above for KJD patients. Only patients with standardized baseline and 2-year radiographs were included in the analyses. The HTO patients were compared to the 23 KJD patients (KJD<sub>HTO</sub>) from the RCT comparing KJD and HTO.

## 2.4. Control Group of Untreated OA Patients

The only relevant OA cohort using the same standardized radiographic analyses, with quantification of osteophyte area, is CHECK (Cohort Hip & Cohort Knee), a cohort of 1002 participants with early symptomatic knee or hip OA who were followed for 10 years and had radiographs of both knees at baseline, 2, 5, 8, and 10 years follow-up [37]. From this cohort, patients that received a TKA during the follow-up period were selected to be compared with KJD patients, since most KJD patients were indicated for TKA but received KJD. For each knee that was treated with TKA in CHECK, all pre-TKA radiographic osteophyte measurements were analyzed to evaluate the linearity of osteophyte formation using a linear regression model, with osteophyte size as a dependent variable and the 'years before TKA' and 'years before TKA squared' as independent variables. The change in osteophyte area during the last two measurements before TKA, corrected to represent a 2-year period, was used as control for the osteophyte progression rate. WOMAC questionnaires from the last time point before TKA and 2 years prior were used to evaluate 2-year clinical changes.

## 2.5. Radiographic Analysis

The standardized radiographs were analyzed by one experienced observer, blinded to patient characteristics, using KIDA software [38]. The osteophyte size (area on the 2D image) was measured in mm<sup>2</sup> for four regions: the lateral and medial femur and tibia. The sum of these regions gives the whole-joint osteophyte size in mm<sup>2</sup>. The mean JSW of the most affected compartment (MAC; determined pre-treatment) in mm provided by the KIDA measurement was evaluated as a representative of the cartilage-regenerative activity of the treatment. In CHECK, the compartment with the smallest JSW was chosen as MAC.

## 2.6. Synovial Fluid Aspirations

Between 2014 and 2015, 20 patients treated with KJD in regular care were included for synovial fluid (SF) aspirations in an ethically approved study (protocol number 15/160). The treatment protocol and inclusion and exclusion criteria in regular care were similar, as explained above, and have been described elsewhere [39], with the addition that patients in this study needed to have a successful baseline SF aspiration. At baseline (during frame placement surgery) and after treatment (during frame removal surgery), an SF sample of maximum 2 mL was aspirated from the treated knee. Biomarker levels were measured according to protocols described previously [25]. In short, samples were centrifuged for 20 min at 3000 G and stored in 200 µL aliquots at −80 °C. The supernatants were

measured by immunoassay for 10 predefined mechanosensitive molecules; mean analyte concentrations were calculated from duplicate assay reads for each participant and time point. For the present evaluation, only TGF $\beta$ -1 and IL-6 were used as predefined potential candidates for association with osteophyte formation as only those have been related to osteophyte formation in literature. For TGF $\beta$ -1 analysis, the human TGF $\beta$ -1 quantikine ELISA assay (R&D; DB100B) was used, and for IL-6 analysis, the V-PLEX custom human cytokine assay (MSD; K151A0H-1) was used; both were carried out according to the manufacturers' instructions.

As no standardized (KIDA) radiographs were available in these SF patients, radiographs taken in regular care at baseline and around one year after treatment (range 276–433 days) were used to score osteophytes using the revised Altman score [6]. The correlation between Altman and KIDA in KJD RCT patients was tested and showed to be moderately good ( $R = 0.669$ ;  $p < 0.001$ ; Supplementary Table S1). All images were scored for osteophytes in each of the four regions twice by one observer (SM), giving each compartment a grade from 0 (normal) to 3 (severe). The average of both scores was used, and due to the wide follow-up range, the follow-up radiograph was linearly corrected (extrapolated) to 365 days with respect to the baseline radiograph. The separate compartment scores were summarized to obtain a 0–12 whole-joint scoring. Only patients with baseline and 1-year follow-up radiographs were included in the analyses.

### 2.7. Statistical Analysis

For all continuous parameters, changes over time for separate patient groups were analyzed using paired t-tests or, where more than 2 time points were available, repeated measures ANOVA. The influence of available predefined patient characteristics (age, gender, BMI, and Kellgren-Lawrence grade) on osteophyte formation was tested with linear regression. For comparisons with the control groups, linear regression was used, correcting for baseline values. For comparisons where in both groups more than two time points were available, mixed ANOVA was used instead.

In SF patients, for the categorical Altman score per region, the Wilcoxon Signed Rank test was used to test changes over time. The changes in whole-joint osteophyte Altman score and in synovial fluid biomarkers were analyzed with paired t-tests, and the Pearson correlations between total joint osteophyte Altman score and biomarker baseline values and changes over time were calculated. Finally, SF patients were divided into three groups (trichotomized) based on an increase, no change, or decrease in total osteophyte Altman score over time. The change in TGF $\beta$ -1 and in IL-6 during the distraction period was compared between these three groups using a Kruskal-Wallis test because of the limited number of patients per group.

Normal distribution was verified for all outcome parameters; in case outcomes were not normally distributed, log transformation was performed. For all tests, a  $p$ -value  $< 0.05$  was considered statistically significant. Absolute values are presented with mean  $\pm$  standard deviation (SD), while changes over time are presented as mean change and 95% confidence interval (95%CI).

## 3. Results

### 3.1. Patients

Of all KJD patients, 1 was excluded before surgery due to inoperability, 3 were lost to follow-up after receiving a different surgical treatment during follow-up, and 1 did not have a standardized baseline radiograph, leaving 58 KJD patients for analysis, of whom 20 were in the KJD<sub>HTO</sub> group. Of the HTO patients, 1 was excluded before treatment due to anxiety while 4 were lost to follow-up due to comorbidities. Five did not have standardized radiographs at both baseline and 2-year follow-up, leaving 36 HTO patients. In CHECK, 30 patients received a TKA during the 10-year follow-up, 14 of whom had a TKA in both knees, giving 44 knees to be compared to the KJD patients. Three of the 20 patients with SF aspirations did not have both a baseline and follow-up radiograph available, leaving 17 SF

patients. The baseline characteristics of all groups are shown in Table 1. These characteristics are shown for male and female patients separately in Supplementary Table S2.

**Table 1.** Baseline characteristics of the different patient groups.

Parameter	KJD (n = 58)	KJD <sub>HTO</sub> (n = 20)	HTO (n = 36)	CHECK (n = 44)	SF (n = 17)
Age, mean (SD)	51.4 (8.0)	51.2 (5.8)	49.1 (6.5)	64.0 (4.3)	53.8 (4.7)
Male gender, n (%)	34 (59)	15 (75)	23 (64)	5 (11)	10 (59)
BMI, mean (SD)	28.0 (3.4)	27.4 (3.3)	27.0 (3.5)	29.4 (4.6)	29.0 (3.3)
	Kellgren-Lawrence grade, n (%)				
Grade 0	0 (0)	0 (0)	1 (3)	2 (5)	0 (0)
Grade 1	8 (14)	5 (25)	4 (11)	18 (41)	0 (0)
Grade 2	9 (16)	4 (20)	10 (28)	16 (36)	2 (12)
Grade 3	28 (48)	10 (50)	18 (50)	8 (18)	7 (41)
Grade 4	13 (22)	1 (5)	3 (8)	0 (0)	8 (47)

KJD = all knee joint distraction patients with available osteophyte measurements; KJD<sub>HTO</sub> = subgroup of KJD patients who were included in the KJD vs. HTO clinical trial; HTO = high tibial osteotomy patients from the KJD vs. HTO clinical trial; CHECK = untreated knee osteoarthritis patients from the cohort hip and cohort knee trial who received a total knee arthroplasty during follow-up; SF = KJD patients from a separate clinical study who underwent synovial fluid aspirations.

### 3.2. Changes after Knee Joint Distraction

As shown in Table 2, the total WOMAC showed significant improvement 2 years after KJD (+28.1; 95%CI 22.7–33.4;  $p < 0.001$ ), as did its subscales. The mean MAC radiographic JSW was significantly increased at 2 years as well (+0.66; 95%CI 0.36–0.97;  $p < 0.001$ ).

**Table 2.** Baseline and two-year WOMAC and JSW for the KJD, HTO and CHECK patient groups.

	KJD			HTO			CHECK		
	Baseline	2 Years	p-Value	Baseline	2 Years	p-Value	Baseline	2 Years	p-Value
Total WOMAC, mean (SD)	50.6 (15.7)	78.8 (19.3)	<0.001	50.7 (14.6)	81.5 (14.5)	<0.001	58.6 (15.9)	51.8 (20.3)	0.035
JSW, mean (SD)	2.36 (1.73)	3.03 (1.57)	<0.001	2.24 (1.28)	2.56 (1.37)	0.034	3.18 (1.76)	2.52 (1.72)	<0.001

WOMAC = Western Ontario and McMaster Universities Osteoarthritis Index (scale 0–100); JSW = joint space width (mm); KJD = knee joint distraction; HTO = high tibial osteotomy; CHECK = cohort hip & cohort knee. P-values are calculated for two-year changes; bold p-values indicate statistical significance. The mean JSW of the most affected compartment is given.

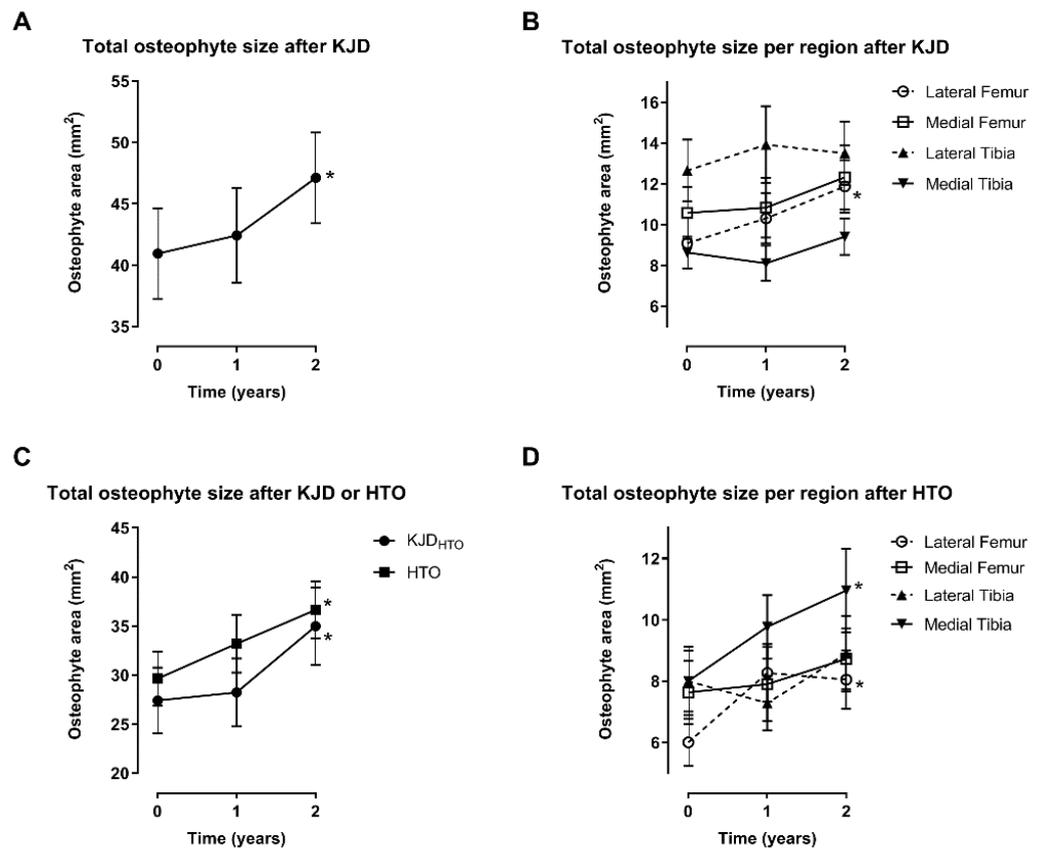
The total osteophyte size showed a statistically significant increase after treatment ( $p = 0.003$ ), from 40.9 (SD 28.0) mm<sup>2</sup> at baseline to 47.1 (28.1) mm<sup>2</sup> at 2 years (Figure 1A). Only the lateral femur showed a significant increase (from 9.1 (9.4) mm<sup>2</sup> to 11.9 (9.8) mm<sup>2</sup>;  $p < 0.001$ ), the other compartments did not (all  $p \geq 0.19$ ; Figure 1B).

A representative radiograph of a patient before and 2 years after KJD treatment is shown in Figure 2, here showing an increased osteophyte size on the lateral femur and medial tibia over 2 years.

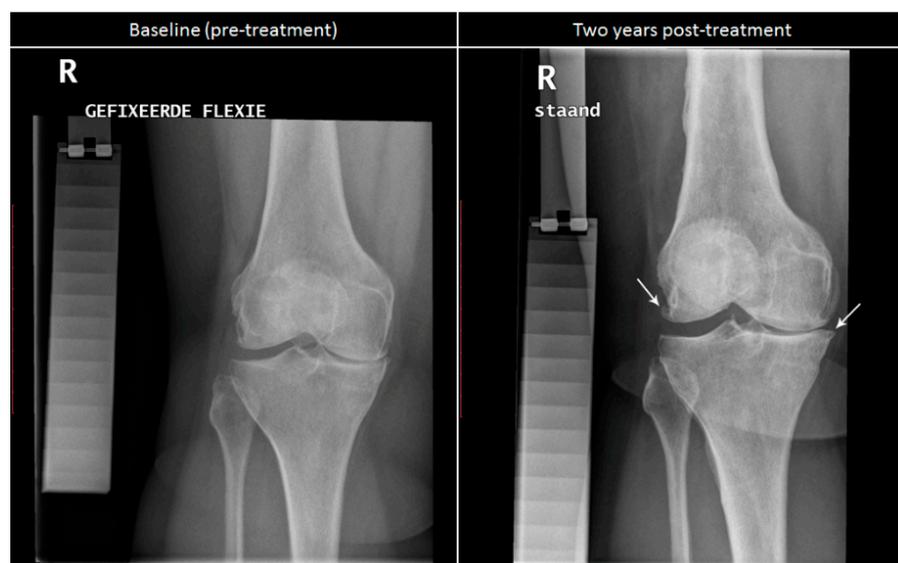
None of the baseline characteristics, including gender, had a significant influence on the 2-year change in osteophyte size (all  $p > 0.32$ ; Supplementary Table S3). As such, no separate analyses for both genders, nor for other different groups of patients based on these characteristics, were performed.

### 3.3. Comparison with High Tibial Osteotomy

HTO patients showed a significant increase in total WOMAC (+30.8; 95%CI 25.5–36.1;  $p < 0.001$ ) and in MAC JSW (+0.32; 0.03–0.61;  $p = 0.034$ ) as shown in Table 2. The WOMAC subscales showed a similar increase.



**Figure 1.** Change in osteophyte size in mm<sup>2</sup> before and one and two years after treatment with knee joint distraction (KJD, *n* = 58) or high tibial osteotomy (HTO, *n* = 36). (A) The total joint osteophyte area and (B) the osteophyte area per compartment after KJD. (C) Total joint osteophyte area after KJD or HTO and (D) osteophyte area per compartment after HTO. Mean and standard error of the mean (SEM) are shown, \* indicates significant changes compared to baseline using repeated measures ANOVA (*p* < 0.05).



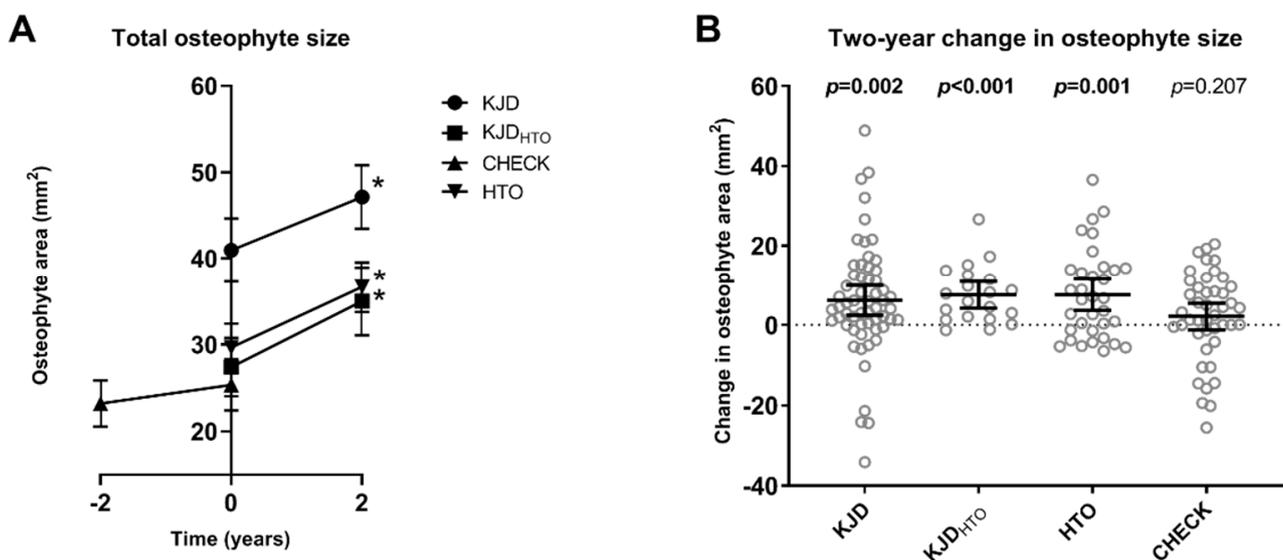
**Figure 2.** Representative radiograph of a patient before and two years after knee joint distraction treatment. Note the increase in osteophyte area over the two years as indicated by the arrows.

HTO patients showed a significant osteophyte change after treatment ( $p < 0.001$ ), increasing from 29.6 (SD 16.5)  $\text{mm}^2$  at baseline to 36.6 (17.4)  $\text{mm}^2$  at two years (Figure 1C). The changes in the lateral femur (6.0 (4.6)  $\text{mm}^2$  to 8.1 (5.7)  $\text{mm}^2$ ;  $p < 0.001$ ) and medial tibia (8.0 (6.7)  $\text{mm}^2$  to 11.0 (8.2)  $\text{mm}^2$ ;  $p = 0.006$ ) were statistically significant (Figure 1D). Like the entire KJD cohort, the  $\text{KJD}_{\text{HTO}}$  patients showed a significant increase after treatment (from 27.4 (15.0)  $\text{mm}^2$  to 35.0 (17.6)  $\text{mm}^2$ ;  $p < 0.001$ ), and only the lateral femur showed a significant increase (from 4.6 (SD 3.8)  $\text{mm}^2$  to 8.1 (4.5)  $\text{mm}^2$ ;  $p = 0.006$ ; Supplementary Figure S1). There was no significant difference between  $\text{KJD}_{\text{HTO}}$  and the other KJD patients for the total osteophyte changes over 2 years ( $p = 0.566$ ). There was no significant difference in the osteophyte changes between HTO and  $\text{KJD}_{\text{HTO}}$  ( $p = 0.592$ ; Figure 1C).

### 3.4. Comparison with Untreated OA Patients

In the 44 knees that received a TKA in CHECK, 124 KIDA measurements were available in the years before the TKA, which were used to confirm a linear approach to osteophyte change over time could be assumed, as the variable ‘years to TKA squared’ did not contribute significantly to the linear regression model predicting osteophyte size ( $p = 0.759$ ). CHECK patients showed a significant decrease in total WOMAC ( $-6.3$ ; 95%CI  $-12.1$ – $-0.5$ ;  $p = 0.04$ ) and MAC JSW ( $-0.67$ ; 95%CI  $-0.86$ – $-0.47$ ;  $p < 0.001$ ) before undergoing TKA (Table 2).

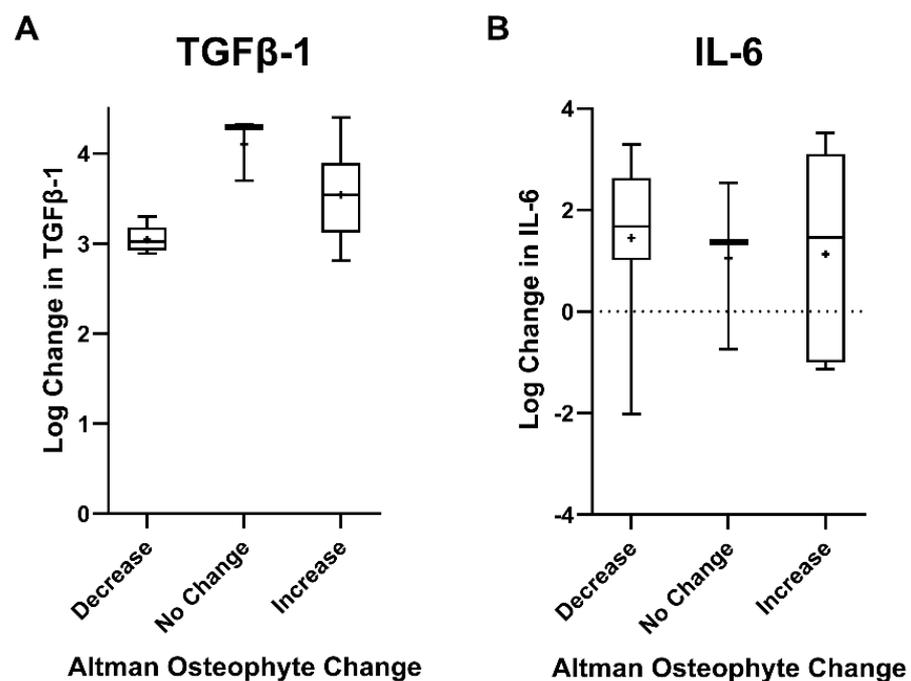
Before TKA, CHECK knees showed a small nonsignificant increase in osteophyte size ( $+2.1$   $\text{mm}^2$ ; 95%CI  $-1.2$ – $5.5$ ;  $p = 0.207$ ; Figure 3A). Correcting for baseline osteophyte size, all KJD patients together ( $p = 0.027$ ),  $\text{KJD}_{\text{HTO}}$  patients ( $p = 0.043$ ), and HTO patients ( $p = 0.027$ ) showed a significantly greater osteophyte increase than CHECK patients prior to TKA. Taking the average of both knees in patients with a TKA in both knees, instead of using the knees separately, did not change significance. Figure 3B displays the 2-year changes in total osteophyte size for the different groups.



**Figure 3.** Two year changes in total joint osteophyte size in  $\text{mm}^2$ . (A) Osteophyte size after treatment for all patients treated with knee joint distraction (KJD,  $n = 58$ ), high tibial osteotomy (HTO,  $n = 36$ ), HTO-indicated KJD patients ( $\text{KJD}_{\text{HTO}}$ ,  $n = 20$ ), and for untreated knee osteoarthritis patients before receiving a total knee arthroplasty (CHECK,  $n = 44$ ). Mean and standard error of the mean (SEM) are shown, \* indicates significant changes ( $p < 0.05$ ) compared to baseline using paired  $t$ -tests. (B) Two-year osteophyte size changes for individual KJD, HTO,  $\text{KJD}_{\text{HTO}}$  and CHECK patients. Mean and 95% confidence interval are shown,  $p$ -values above groups indicate significance of two-year changes using paired  $t$ -tests (bold values indicating statistical significance).

### 3.5. Relation with Synovial Fluid Markers

None of the four osteophyte locations showed statistically significant 1-year changes in Altman score compared to baseline in the SF patients (all  $p > 0.074$ ; Supplementary Table S4). The total Altman osteophyte score summarized for the entire joint was at 1 year not different from baseline, increasing with 0.2 points (95%CI  $-0.6$ – $0.9$ ;  $p = 0.653$ ). As the biomarker changes were not normally distributed, they were log transformed. In case of negative change values, the log transformation of the absolute change was subtracted from zero. Two patients did not have biomarker results after treatment, and one did not have a baseline value for TGF $\beta$ -1, leaving fourteen patients for TGF $\beta$ -1 analysis and fifteen for IL-6 analysis. Both biomarkers showed statistically significant changes during the distraction period, as shown previously [25]: TGF $\beta$ -1 ( $1527.9 \pm 3346.8$  to  $8027.9 \pm 10,534.8$  pg/mL;  $p < 0.001$ ); IL-6 ( $24.4 \pm 31.3$  to  $466.3 \pm 936.4$  pg/mL;  $p = 0.011$ ). There was no apparent association between baseline values of these biomarkers and the baseline total Altman osteophyte score, or between the changes in these parameters (all  $p \geq 0.28$ ; Supplementary Table S5). Trichotomization of patients in groups with a decrease ( $n = 5$ ), no change ( $n = 3$ ), or increase ( $n = 6$  for TGF $\beta$ -1;  $n = 7$  for IL-6) in total Altman osteophyte score showed there was a statistically significant difference in changes in analyte levels during treatment between the three groups for TGF $\beta$ -1 ( $p = 0.044$ ), but not for IL-6 ( $p = 0.898$ ), as shown in Figure 4.



**Figure 4.** Box plots for the changes in synovial fluid concentrations over the course of 6 weeks of knee joint distraction, of (A) transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1) and (B) interleukin-6 (IL-6), categorized into groups of patients with a decrease ( $n = 5$ ), no change ( $n = 3$ ) or increase ( $n = 6$  for TGF $\beta$ -1;  $n = 7$  for IL-6) in total Altman osteophyte score. The bar represents the median, whiskers represent the minimum and maximum value, the + represents the mean.

## 4. Discussion

Based on radiographic measurement, using sensitive image analyses like KIDA, KJD seems to induce increased osteophyte formation in the first two years following treatment. This argues against the general assumption that osteophytosis is solely a hallmark of OA worsening or joint degeneration, since this osteophytosis during KJD is combined with a significant increase in clinical benefit and joint space widening (supported in previous studies by MRI cartilage volume measurements [21,22,33]). Increased osteophyte presence

has often been associated with increased pain in knee OA patients [40–42], but with improvement in clinical outcome for KJD patients, including a significant decrease in pain, parallel with an increase in osteophyte size. No correlation could be found between (changes in) osteophyte size and WOMAC scores or JSW (except between baseline JSW and osteophyte size, see Supplementary Tables S6 and S7), expectedly due to limited numbers.

Treatment-related osteophyte formation is not limited to KJD, but is demonstrated after HTO as well. HTO patients were compared with KJD<sub>HTO</sub> patients, since those groups were randomized as such in the original RCT, and showed similar osteophyte formation. While KJD<sub>HTO</sub> patients showed similar results as the entire KJD group, their baseline osteophyte size was smaller and more comparable with the HTO group. This is likely because while KJD<sub>HTO</sub> patients who were in regular care indicated for a HTO, all other KJD patients were in regular care indicated for TKA and thus likely had further progressed OA. Nevertheless, both treatments showed changes predominantly in the lateral compartment. While in HTO patients this might be explained by an increased load on the lateral side as a result of the medial unloading, such a shift is not necessarily expected in KJD. Since HTO showed an osteophyte increase on the medial side as well, loading may not be directly involved in osteophyte formation after these treatments. Like in KJD, osteophyte formation in HTO accompanied clinical improvement and JSW increase, further questioning the role of osteophytes in OA. Other studies have shown similar findings, showing that lateral osteophyte presence is not associated with lateral cartilage degeneration or with medial knee OA severity [43–45]. Our findings suggest that the presence, size and localization of osteophytes may not be such a clear indication of joint degeneration and accompanying symptoms as is generally assumed.

With the analysis of untreated patients from the CHECK cohort it was shown that the increase in osteophytes after KJD was greater than the natural progression that can be expected in knee OA patients. It should be noted however that, despite making a selection of patients that received TKA during follow-up, the CHECK patients differed in baseline characteristics and seemed to have less severe OA at the moment of treatment (TKA) than the KJD patients, as shown by Kellgren-Lawrence grade and osteophyte size. This might be related to the specific characteristics of this CHECK cohort where pain was an essential inclusion criterion, and might be irrelevant for comparison with KJD or HTO, as in none of the groups did the baseline osteophyte size or Kellgren-Lawrence grade have a significant influence on the change in osteophyte size (CHECK:  $p = 0.391$  and  $p = 0.457$ , respectively).

For patients who had SF aspirations, the osteophyte formation after KJD seems to be associated with the increase in TGF $\beta$ -1 during the six weeks of treatment, based on dividing patients into groups showing an increase, no change, or a decrease in Altman osteophyte score after KJD. However, there were no associations between the (changes in) actual Altman scores and TGF $\beta$ -1 values. These results are as such indicative and not conclusive, corroborating the reported role of TGF $\beta$ -1 in osteophyte formation. While both TGF $\beta$ -1 and IL-6 significantly increased during treatment, the change in IL-6 was not associated with osteophyte formation.

This study has several limitations. First, the different cohorts were not initiated and powered for the presented statistical evaluations and should therefore be considered exploratory. Second, retrospectively comparing patient cohorts that have not been randomized or carefully matched, as was done when comparing KJD patients with CHECK, provides a risk for coincidental findings. Despite selecting the most relevant subgroup from CHECK, there was a clear difference in OA severity with KJD patients. Although the comparison between KJD and CHECK was corrected for baseline osteophyte size and the Kellgren-Lawrence grade was shown to not be an influence on the change in osteophyte size, it could still be that the results in CHECK patients underestimate the natural progression in more severe knee OA patients. CHECK was used since it was a well-established cohort of untreated knee OA patients of which radiographs were evaluated with KIDA, but patients generally had mild OA. Patients with a more comparable severity would make a

better comparison, although purposefully not treating severe knee OA patients for multiple years would be ethically unsound.

Another limitation was the fact that no KIDA evaluations were available for the SF patient group. The Altman osteophyte score may not have been sensitive enough to show one-year changes in osteophyte size after KJD, especially in this small group of patients. As TGF $\beta$ -1 has previously been associated with both cartilage repair and osteophyte formation, morphometric MRI scans, and/or 3D CT scans in sufficient numbers of patients could be of added value in future studies. The present study provides an indication that a rise in TGF $\beta$ -1 might be a mediator in tissue repair activity upon KJD leading to osteophyte formation in addition to cartilage repair, but future studies would have to prove this concept.

## 5. Conclusions

In conclusion, KJD is accompanied by osteophytosis occurring in parallel with radiographic joint space widening and clinical improvement, including significant pain relief. Similarly, HTO is accompanied by osteophytosis as well. The osteophyte formation during joint-preserving treatments with observed endogenous cartilage repair activity seems to be a bystander effect and may be related to a change in intra-articular anabolic factors such as TGF $\beta$ -1. This observation argues against osteophytosis as solely a key parameter in the joint degenerative process.

**Supplementary Materials:** The following are available online at <https://www.mdpi.com/article/10.3390/app11157156/s1>, Table S1: Pearson correlation coefficients between the osteophyte Altman scores and the osteophyte size as measured by KIDA, Table S2: Baseline characteristics of the different patient groups for male and female patients separately, Table S3: Influence of baseline characteristics on two-year osteophyte change after knee joint distraction treatment, Table S4: Pearson correlations between baseline osteophyte size and baseline WOMAC and joint space width, Table S5: Pearson correlations between one- and two-year changes in osteophyte size and WOMAC and joint space width, Table S6: Baseline and one-year Altman scores for patients with synovial fluid aspirations, Table S7: Pearson correlations between baseline total Altman score and TGF $\beta$ -1 and IL-6, and between one-year changes, Figure S1: Change in osteophyte size in mm<sup>2</sup> per region before and one and two years after treatment with knee joint distraction, for patients indicated for high tibial osteotomy (KJD<sub>HTO</sub>, *n* = 20).

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**Data Availability Statement:** Data related to this manuscript cannot be shared publicly because of ethical restrictions related to participant consent. These restrictions are imposed by the institutional review board of the University Medical Center Utrecht, Utrecht, The Netherlands. All relevant data are available upon request by sending an email to the Rheumatology department of the UMC Utrecht ([urrci@umcutrecht.nl](mailto:urrci@umcutrecht.nl)).

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